

CLINICAL PRACTICE GUIDELINE

# Preventing Inadvertent Perioperative Hypothermia

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## SUMMARY

**Background:** 25–90% of all patients undergoing elective surgery suffer from inadvertent postoperative hypothermia, i.e., a core body temperature below 36°C. Compared to normothermic patients, these patients have more frequent wound infections (relative risk [RR] 3.25, 95% confidence interval [CI] 1.35–7.84), cardiac complications (RR 4.49, 95% CI 1.00–20.16), and blood transfusions (RR 1.33, 95% CI 1.06–1.66). Hypothermic patients feel uncomfortable, and shivering raises oxygen consumption by about 40%.

**Methods:** This guideline is based on a systematic review of the literature up to and including October 2012 and a further one from November 2012 to August 2014. The recommendations were developed and agreed upon by representatives of five medical specialty societies in a structured consensus process.

**Results:** The patient's core temperature should be measured 1–2 hours before the start of anesthesia, and either continuously or every 15 minutes during surgery. Depending on the nature of the operation, the site of temperature measurement should be oral, naso-/oropharyngeal, esophageal, vesical, or tympanic (direct). The patient should be actively prewarmed 20–30 minutes before surgery to counteract the decline in temperature. Prewarmed patients must be actively warmed intraoperatively as well if the planned duration of anesthesia is longer than 60 minutes (without prewarming, 30 minutes). The ambient temperature in the operating room should be at least 21°C for adult patients and at least 24°C for children. Infusions and blood transfusions that are given at rates of >500 mL/h should be warmed first. Perioperatively, the largest possible area of the body surface should be thermally insulated. Emergence from general anesthesia should take place at normal body temperature. Postoperative hypothermia, if present, should be treated by the administration of convective or conductive heat until normothermia is achieved. Shivering can be treated with medications.

**Conclusion:** Inadvertent perioperative hypothermia can adversely affect the outcome of surgery and the patient's postoperative course. It should be actively prevented.

### ► Cite this as:

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**H**ypothermia (body temperature <36 °C) is present in the postoperative period in 26% to 90% of all patients who have undergone elective surgery (1). The risk of hypothermia is particularly high in patients over 60 years of age with poor nutritional status and pre-existing disease that impairs thermoregulation (e.g., diabetes mellitus with polyneuropathy) and in those who have had major or lengthy surgery. Lower temperatures in the operating room also increase the risk of hypothermia: the lower the temperature, the higher the risk.

If hypothermia does occur, the relative risk of severe complications such as impaired wound healing is 3.25 (95% confidence interval [CI] 1.35 to 7.84) compared to normothermia; for cardiac disorders it is 4.49 (95% CI 1.00 to 20.16), and for increased bleeding with blood transfusions it is 1.33 (95% CI 1.06 to 1.66) (2). Against this background, the existing evidence on preventing, recognizing, and treating perioperative hypothermia demands to be turned into recommendations for practice. This was the objective of the first German-language interdisciplinary S3 guideline, developed under the auspices of the German Society of Anaesthesiology and Intensive Care Medicine (DGAI, Deutsche Gesellschaft für Anästhesiologie und Intensivmedizin), together with the German Surgical Society (DGCH, Deutsche Gesellschaft für Chirurgie), the German Society of Pediatric Surgery (DGKIC, Deutsche Gesellschaft für Kinderchirurgie), the German Society for Specialist Nursing and Ancillary Medical Staff (DGF, Deutsche Gesellschaft für Fachkrankenpflege und Funktionsdienste), and the Austrian Society of Anesthesiology, Resuscitation, and Intensive Care Medicine (ÖGARI, Österreichische Gesellschaft für Anästhesiologie, Reanimation und Intensivmedizin) (Box). This article presents the main principles of the guideline. For further detail, the reader is referred to the long version ([3], in German).

## Methods

The development of this guideline was initiated in Marburg and the working process was moderated by Prof. Ina Kopp of the Association of Scientific Medical Societies in Germany (AWMF, Arbeitsgemeinschaft der Wissenschaftlichen Medizinischen Fachgesellschaften). The guideline group consisted of 14 experts, nine of them with responsibility as section authors

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(Bein, Bräuer, Höcker, Horn, Ittner, Torossian, Schmittenbecher, Welk, Weyland) (*Box*).

At the constitutive consensus conference, the coordinator presented an initial literature search, and through a nominal group process the guideline group agreed search terms for the literature search on PubMed, APC Journal Club, and CINAHL, and also agreed five key clinical questions:

- What is the normal core body temperature of a surgical patient; when and where should it be reliably measured in the perioperative period?
- What are the risk factors for the occurrence of perioperative hypothermia?
- What are the consequences of perioperative hypothermia?
- What warming techniques exist to reduce perioperative hypothermia?
- How should the guideline be implemented?

The coordinator was commissioned to undertake guideline research/synopsis in order to allow the group to adapt existing recommendations (“guideline adaptation”). The search covered GIN, AHRQ (National Guidelines Clearinghouse), the Cochrane Library, and Medline via PubMed including a search by hand. Four international guidelines were identified (2, 4–6) and evaluated in accordance with DELBI domain 3 (7) (*eFigure 1*). The NICE Clinical Guideline 65 of 2008 (2) was given the highest evaluation score and thus became the primary source guideline. The section entitled “Risk factors” was taken over in shortened form from this guideline. Next, the coordinator carried out a systematic research update (“de novo evaluation of evidence”) for the years 2006 to 2012 for the sections entitled “Prewarming,” “Intraoperative warming,” and “Shivering” using MeSH terms in Medline (*eFigure 2*, sample search). Only patient studies were included (no case reports). Publications in English, German, and French were included (formal selection). From the literature identified in this way, a further selection was made on the basis of title, abstract, and full text with reference to answering the key questions listed above (content selection). Because the sections “Normal body temperature” and “Measuring body temperature” are not adequately covered in the NICE guideline, a previous publication by the coordinator (8) was updated in a similar fashion. Out of a total of 4865 publications identified, 243 relevant ones were selected and passed to the chapter responsible authors as topic-specific literature packages to be individually evaluated using structured checklists (modified according to SIGN [9]). The results of the evaluation were summarized in evidence tables.

This short version of the guideline cites only selected publications that underpin the main recommendations. The final version of the recommendations developed by the authors was produced in the course of three meetings of the steering group, the last of which took place on 22 March 2013. This was the basis, including the draft full text, on which all members of the guideline group voted in an online Delphi round, using

## BOX

### Members of the guideline group responsible for development of the guideline “Preventing inadvertent perioperative hypothermia”

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- Prof. Dr. med. Robert Greif (Bern) – *not mandated*

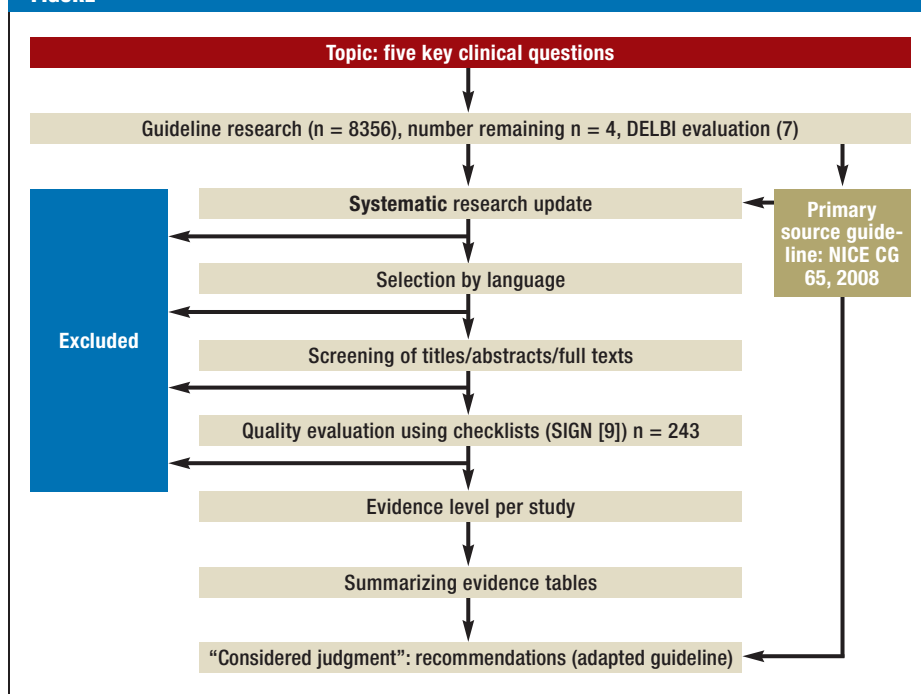
<sup>\*1</sup> member of steering group; <sup>\*2</sup> authors with chapter responsibility; **bold**: lead author

a structured questionnaire. An updated literature search in PubMed for the period 1 November 2012 to 19 August 2014 yielded 12 publications, three of which were included as relevant (*Table 1*).

The evidence underlying the present guideline is presented in a manner based on the evidence categories of the Oxford Centre for Evidence Based Medicine (10). The strength of each recommendation takes into account clinical practicability in relation to the methodologically evaluated evidence (“considered judgment”) (*Figure*). The scheme employed in the guideline for deriving recommendation grades from evidence levels is shown in simplified form in *Table 1*. The recommendations are formulated by analogy to their grading as: strong recommendation, “should” (A);

**Development process for the guideline**  
“Preventing inadvertent perioperative hypothermia”

**FIGURE**



weak recommendation, “probably should” (B); no recommendation, “may” (optional course of action, 0). Negative recommendations are expressed using the word “not.” The guideline was supported financially by the DGAI and the guideline group had editorial independence. The authors and participants in the consensus process worked on a voluntary basis and submitted a written declaration of any conflicts of interests they had, in particular those relating to industry. Full procedural details are provided in the methods section of the full guideline (11).

### Normal core body temperature and definition of hypothermia

As early as 1860, the Leipzig physician Carl Wunderlich, using mercury thermometers, established the paradigm of the mean normal body temperature of 37 °C, on the basis of axillary temperature measurements in thousands of patients (12). The temperature chart still in routine clinical use today was introduced by Wunderlich to monitor the course of disease in patients in hospital. The paradigm was confirmed by modern thermometers that measure temperature at sites closer to the core of the body. Today it is also known that the core temperature of the body is subject to a biorhythm, fluctuating according to the time of day and the time of year. The whole of the body’s metabolism, by producing heat, contributes to maintaining the temperature of the body, and it is affected by physical activity and by hormones.

Because of this, a normal temperature range can be defined that lies between 36 and 37.5 °C (13). It

follows, as is recognized in international guidelines, that a core body temperature of 36 °C should be regarded as the threshold for hypothermia.

### Epidemiological and pathophysiological aspects of perioperative hypothermia

A Europe-wide survey on the practice of intraoperative patient warming revealed that only 40% of all patients under general anesthesia were warmed intraoperatively, and temperature was measured in only 20%. Among patients under regional anesthesia, only 20% were warmed and only 6% had their temperature measured (14). From this, it must be concluded that the need to prevent inadvertent perioperative hypothermia has insufficient hold in the minds, not only of anesthetists and nurses, but also in those of surgeons and medical ancillary staff.

After the induction of general anesthesia, the body’s “thermostat” in the hypothalamus is “set” to a lower temperature. Cooling of the patient is the result mainly of redistribution of heat after the induction of anesthesia together with the body’s release of heat (net heat loss). The physical exchange of heat between the body and its environment occurs by means of four mechanisms:

- Radiation, accounting for about 50% to 70%
- Convection (heat loss via the ambient air stream), accounting for about 15% to 25%
- Evaporation via skin and mucosa, accounting for 5% to 20%
- Conduction (heat loss by direct contact between surfaces), accounting for about 3% to 5%.

TABLE 1

**Simplified scheme for assigning evidence levels and recommendation grades**

Evidence level (Oxford)	Simplified definition of sources		Recommendation grade	Description
	Treatment	Diagnosis		
I	Randomized controlled trials	Validating cohort studies	A ("should")	Strong recommendation
II	Controlled studies without randomization	Explorative cohort studie	B ("probably should")	Weak recommendation
III or IV	Observation studies, expert opinion		O ("may")	No recommendation
	Structured consensus formation—clinical judgment		Expert consensus	Consensus on "good clinical practice"

For more detail on perioperative thermoregulation, readers are referred to the long version of the guideline (3).

### Diagnosing hypothermia: measuring core body temperature perioperatively

Core body temperature is a vital sign. To prevent hypothermia and to recognize it early, the patient's core temperature should be measured before he or she is moved into the OR (1 to 2 hours before the onset of anesthesia) and also on arrival in the OR (expert consensus). Intraoperatively, continuous temperature monitoring is recommended. If temperature is measured intermittently, this should be done at least every 15 minutes (expert consensus). This requires that all anesthesia workstations are readily equipped with the functionality to measure body temperature (expert consensus), although current regulations require this only for anesthesia workstations used for anesthesia in children (15).

### Measurement methods and measurement site for perioperative core body temperature

In practice, the measurement of core body temperature—depending on what method is used and where the measurement is made—is subject to considerable error. Perioperatively, core temperature should so far as possible be measured at the same site and using the same method (expert consensus). Invasive measurement of core temperature in the pulmonary artery via a Swan–Ganz catheter is regarded as the reference site. Of the less invasive measurement sites, the oral (sublingual) is currently regarded as the most reliable (evidence level [EL] Ib, [cf. reference 16], recommendation grade A). Temperature measurements at this site are easy to carry out, reproducible, and correlate well with the core body temperature; and they can be performed both pre-, post-, and intraoperatively (17). Other less invasive methods that are suited for perioperative use—depending on the surgical region—are naso-/oropharyngeal, esophageal, vesical, or direct tympanic membrane temperature measurement (EL IIa, [16], recommendation grade A).

Quick infrared ear temperature measurement is inaccurate, since it usually records only the temperature of the external auditory canal, not that of the tympanic membrane. This results in large deviations of 1 to 2 °C from the core temperature; it also yields values that differ between users. For this reason, this method cannot be recommended (EL Ib, [16], recommendation grade A).

### Risk factors for inadvertent perioperative hypothermia

As early as the 1950s, the English physician Sir George Pickering expressed that the most effective way of cooling a human being was to anesthetize him or her. This side effect of anesthesia means that basically any patient under general or regional anesthesia develops hypothermia, though the extent of the hypothermia is affected by other factors relating to the individual patient, type of anesthesia, surgery, drugs used, and to the environment. The following all indicate increased risk for the development of perioperative hypothermia:

- Older age (60 years and over)
- Low body weight/poor nutritional status
- Pre-existing conditions that impair thermoregulation (e.g., diabetes mellitus with polyneuropathy, hypothyroidism, ingestion of sedatives or psychoactive drugs)
- An ASA (American Society of Anesthesiologists) risk class higher than I (ASA risk classes classify postoperative mortality; risk increases exponentially with class number).
- Pre-existing hypothermia (existing before the surgery) is also an independent risk factor for further cooling of the patient (3).
- If general anesthesia is combined with regional anesthesia close to the spinal cord (especially if this is in the form of high spinal blockade with corresponding sympatholysis), the risk of intraoperative cooling of the patient rises further. A duration of anesthesia greater than 2 hours and intraoperative infusion of large volumes of unwarmed solutions or transfusion of cold red blood cell concentrates (4 °C) also increase inadvertent hypothermia.



- The nature, extent, and duration of surgery are surgery-related risk factors for the development of hypothermia. So is the intraoperative use of large volumes of unwarmed irrigation fluids (3).
- The temperature of the OR also has a decisive effect on the patient's postoperative body temperature, which is significantly higher in a warmer OR (21 to 24 °C) than in a colder one (18 to 21 °C) (18). For this reason, an ambient temperature in the OR of at least 21 °C is recommended for adults and at least 24 °C for children (EL II, [18], expert consensus).

## Complications of inadvertent perioperative hypothermia

The most severe complications associated with inadvertent perioperative hypothermia are cardiac events (EL Ia, [2, 3, 19]) such as cardiac arrhythmia and myocardial infarction (20), coagulation disorders with increased bleeding and increased transfusion requirement (EL Ia, [21]), impaired wound healing (EL Ia, [2, 3]), wound infections (22), and pressure ulcers.

In addition to these effects, the effect of anesthetic agents is prolonged (23) and serum potassium concentration falls. Subcutaneous partial oxygen pressure is lowered in the region of the wound by peripheral vasoconstriction due to cold (24). This also impairs the phagocytic activity of oxygen-dependent polymorphonuclear granulocytes and thus elevates the risk of postoperative wound infection.

Shivering can occur in postoperative hypothermia as the effect of the anesthetic starts to wear off. This is regarded as a physiological mechanism of heat production, but is experienced by the patient as very unpleasant and increases oxygen consumption by about 40% (25).

To summarize, it may be stated that perioperative hypothermia has a negative influence on surgical outcome and the postoperative course, even increasing the length of hospital stay and the cost of treatment (2, 3). Subjectively, the patient feels uncomfortable.

## Possible preventive measures

### Warming the patient before surgery ("prewarming")

The concept of prewarming the patient is based on the simplified model in which the peripheral parts of the patient's body are regarded as a "thermal buffer." When the patient is awake, there is a natural temperature gradient between the core and the periphery (skin) of about 5 to 8 °C. Warming the surface of the body reduces this gradient and increases the overall heat content of the body, so that the initial drop in temperature due to redistribution after the induction of anesthesia is reduced.

This active prewarming (e.g., convective) before induction of general anesthesia is very effective in preventing perioperative hypothermia (EL Ia, [26], recommendation grade A). The prewarming should last for 10 to 30 minutes (EL Ib, [27–29], recommendation grade A). Patients should also be actively warmed before epidural or spinal anesthesia (EL Ib, [28], recommendation grade B).

TABLE 2

Overview of updated literature search on Medline, 1 November 2012 to 19 August 2014, for the guideline "Preventing inadvertent perioperative hypothermia"

Author, title, reference	Evidence type, Oxford evidence level	n	Quality incl. studies	Patient characteristics	Measure taken/ comparison measure	Outcome measurement	Effect, size, outcome	Funding	Comment
Roberson MC et al.: A review of the evidence for preoperative warming of adults undergoing general anesthesia. AANA J 2013; 81: 351–6.	Syst. review, 1a	7 RCTs, 1 cohort study, n = 665	II–III	Adult surgical patients	Preoperative warming using forced-air blankets without carbon fiber or carbon polymer mats	Postoperative core body temperature (CBT)	7 studies show postoperative CBT >36 °C; 1 study shows no positive effect or has inadequate statistical power, overall prewarming is effective	No data	Confirms review by de Brito Poveda V. et al. A systematic review on the effectiveness of prewarming to prevent periop. hypothermia. J Clin Nurs 2013; 22: 906–18.
Kellam MD et al.: Forced-air warming devices and the risk of surgical site infections. AORN J 2013; 98: 354–66.	Syst. review, 1a	3 RCTs, n = 1483, 12 OR/ device studies	II–IV	Adult surgical patients, volunteers, manikins	Forced-air blankets	No. of bacteria, postop. wound infections	No. of bacteria increased in forced-air device but not in OR or on patient; effect on postop. wound infection unclear. Devices should be clean and used with filters	No support	Many of the studies were commercially funded.
Alderson P et al.: Thermal insulation for preventing inadvertent perioperative hypothermia. Cochrane Database Syst Rev 2014; 6: CD009908	Syst. review, 1a	16 RCTs, n = 421	III–IV Blinding was inadequate or not mentioned	Adult surgical patients	Forced-air blankets vs. insulation (passive warming)	Core body temperature (CBT)	Forced-air blankets raise CBT by 0.5–1 °C compared with insulation; effect on postoperative complications unclear	No data	Supports NICE Guideline CG 65

Results: 12 hits, from which the following exclusions were made: (initially, on title) heart surgery; 1; (later) 4 unsystematic reviews, 1 retrospective analysis, 1 editorial and 2 implementation protocols to prevent perioperative hypothermia

### Active warming of the patient during surgery

Convective heating using a forced-air warming blanket is very effective, since most of the heat lost by the patient is lost through radiation and convection. Through the blanket, warmed air flows over the patient's skin. The warming devices should be cleaned and used with filters according to their manufacturers' instructions, as they can harbor bacteria (30). A further rise in warming efficacy can be achieved by combining intraoperative patient warming with prewarming (31).

During the intraoperative period—that is, from induction to the end of anesthesia—all patients who are scheduled to receive anesthesia for longer than 30 minutes should be actively warmed (EL Ia, [2, 3], recommendation grade A). In patients who have been prewarmed, active intraoperative warming is not required if the duration of anesthesia is less than 60 minutes (expert consensus).

Conductive warming methods (transfer of heat by direct contact) can be used for heat retention as an alternative to convective methods. Blankets laid on top of the body should be used for this. Heating blankets laid under the patient's back should only be used to supplement those on top (EL Ia, [32, 33], recommendation grade B).

### Passive warming

Thermal insulation is an external (passive), effective way of reducing radiating and convective heat loss via the skin. Various materials reduce heat loss by up to 30% (34). In addition to active warming, the largest possible (not actively warmed) area of the body should be covered (insulated) (EL III, expert consensus). Insulation alone does not usually suffice to maintain normothermia intraoperatively. A recent Cochrane Review confirms that only active warming increases body temperature by 0.5 to 1 °C compared to warming by insulation (35).

### Warming infusions and blood products

Administration of large volumes of cold infusion solutions or blood products reduces core body temperature (36), and therefore intraoperative warming of infusions and blood products given at infusion rates above 500 mL/h should also be implemented (expert consensus). Warming infusion solutions in an infusion warmer ("in-line warming") is very effective and should be employed (EL II, [2, 3], recommendation grade B). In cases where there is little fluid exchange, the use of infusion warmers alone is not enough to maintain normothermia (37).

### Warming irrigation solutions

Intraoperative irrigation fluids should be prewarmed to 38 to 40 °C (EL Ib, [3, 38], recommendation grade A).

### Special patient groups: children

Infants have a higher core temperature than older children, and because their thermoregulation mechanisms are less mature and they have a higher body area

to body weight ratio, they cool down more quickly (14). Normal core temperature in children up to 5 years is 36.5 to 38.0 °C (EL Iib, [39]). Up to the age of 2, rectal measurement of core temperature is recommended (EL Iib, [39], recommendation grade A).

### Postoperative period and management of shivering

Shivering after surgery occurs in 10% to 60% of patients after general (40) and regional anesthesia (29) and should be treated by active warming. Supplementary medical therapy can be given in the form of clonidine or pethidine, for example, though this is an off-label use as neither one of these drugs is approved for this use (EL Iia, [40], recommendation grade A).

### Steps to be taken by the postoperative care unit

After the end of anesthesia, the physiological thermoregulation mechanisms rapidly return. On admission to the unit providing postoperative care (recovery room, intermediate care, intensive care unit, normal ward), the patient's core temperature should be measured (expert consensus). Patients who are hypothermic postoperatively should be actively warmed until they reach normothermia (expert consensus), during which time their core temperature should be measured regularly, e.g., every 15 minutes (expert consensus).

### Patient information, guideline implementation, monitoring of outcome of heat management

Patients should receive information before surgery about the risk of inadvertent perioperative hypothermia (causes and effects, preventive measures, and treatment; expert consensus). To implement the guideline, a perioperative checklist is recommended (expert consensus). Every 3–6 months, the incidence of postoperative hypothermia (patient core body temperature on arrival in the recovery room) should be monitored by means of a sample survey.

### Conflict of interest statement

Professor Torossian has received consultancy fees from Mölnlycke, GE, and Arizant, and has been reimbursed for conference, travel, and accommodation expenses by Arizant and Mölnlycke. He has received funding from Mölnlycke and 5 Med for a research project initiated by himself.

Professor Wulf has received third-party funding for carrying out clinical studies for Mölnlycke and lecture fees from Smith Medical and Arizant.

Dr. Höcker has had conference attendance fees and travel and accommodation expenses reimbursed by 3M. He has received lecture fees from 3M, Mölnlycke and The 37° Company. He has received equipment from 3M for a research project initiated by himself.

Prof. Bräuer receives consultancy fees from 3M. He has received fees for the preparation of scientific meetings from 3M and LMA Deutschland. He has had conference attendance fees and travel and accommodation expenses reimbursed by 3M. He has also received fees for carrying out clinical studies for LMA Deutschland.

Professor Bein has received consultancy fees and reimbursement of travel and accommodation expenses, fees for the preparation of scientific meetings, and funding for a research project initiated by himself from 3M Deutschland.

Dr. Horn declares that no conflict of interest exists.

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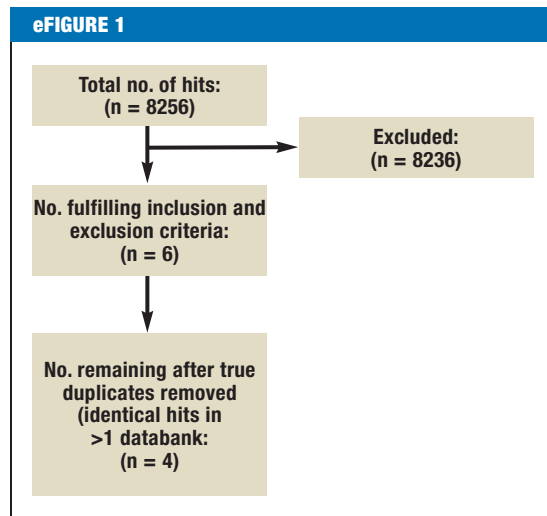
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CLINICAL PRACTICE GUIDELINE

# Preventing Inadvertent Perioperative Hypothermia

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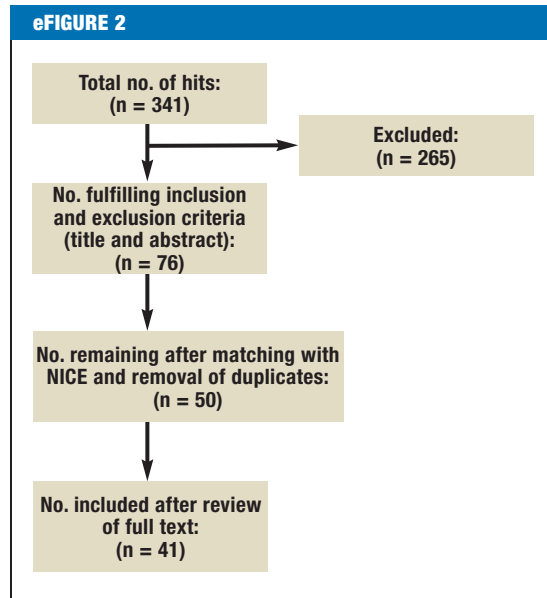
**eFIGURE 1**



## Guideline research for the guideline “Preventing inadvertent perioperative hypothermia”

- NICE guideline 2008 ([3], United Kingdom)—primary source guideline
- Joanna Briggs Institute Guideline 2010 ([4], Australia)
- Canadian and American colleges of surgery 2009 (5)
- ASPAN guideline 2010, 2<sup>nd</sup> ed ([6], USA)

**eFIGURE 2**



## Sample literature search for the section “intraoperative patient warming” for the guideline “Preventing inadvertent perioperative hypothermia”

Search strings:

- “hypothermia”[MeSH Terms] OR “hypothermia”[All Fields] AND forced-air[All Fields] AND warming[All Fields]: 178 abstracts
- “hypothermia”[MeSH Terms] OR “hypothermia”[All Fields] AND resistive[All Fields] AND (“heating”[MeSH Terms] OR “heating”[All Fields]): 19 abstracts
- “hypothermia”[MeSH Terms] OR “hypothermia”[All Fields] AND intraoperative[All Fields] AND warming[All Fields]: 254 abstracts

Number of studies finally remaining for evaluation: 41